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7
8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 OAKLAND DIVISION

11 DR. JAMES M. SWANSON, an individual,

12 Plaintiff,

13 v.

14 ALZA CORPORATION, a corporation,

15 Defendant.

CASE NO. 4:12-cv-04579-DMR

**PLAINTIFF DR. JAMES M. SWANSON'S
LOCAL RULE 3-16 CERTIFICATE OF
INTERESTED PARTIES**

1 Pursuant to Civil Local Rule 3-16, Plaintiff hereby certifies that the following listed
2 persons, association of persons, firms, partnerships, corporations (including parent corporations) or
3 other entities (i) have a financial interest in the subject matter in controversy or in a party to the
4 proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be
5 substantially affected by the outcome of this proceeding: The Regents of the University of
6 California.
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13 Dated: September 26, 2012

CARR & FERRELL *LLP*

14 By: /s/ Gerald P. Dodson
15 GERALD P. DODSON
16 K. BRIAN BATHURST

17 Attorneys for Plaintiff
18 Dr. James M. Watson
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